

# Phesgo® (pertuzumab, trastuzumab and hyaluronidase-zzxf) (Subcutaneous)

Document Number: IC-0553

Last Review Date: 06/02/2026

Date of Origin: 08/04/2020

Dates Reviewed: 08/2020, 09/2020, 01/2021, 03/2021, 03/2022, 03/2023, 03/2024, 06/2025, 06/2026

## I. Length of Authorization <sup>1</sup>

- Initial: Prior authorization validity will be provided initially for 6 months (180 days).
- Renewal: Prior authorization validity may be renewed every 6 months (180 days) thereafter, unless otherwise specified.
  - Neoadjuvant/preoperative and adjuvant therapy: Prior authorization validity may be renewed for a total of 1 year (up to 18 cycles).

## II. Dosing Limits

### Max Units (per dose and over time) [HCPCS Unit]:

- Initial Dose: 180 billable units x 1 dose
- Maintenance Dose: 120 billable units every 21 days

## III. Initial Approval Criteria <sup>1-5</sup>

Prior authorization validity is provided in the following conditions:

- Member is at least 18 years of age; **AND**

### Universal Criteria <sup>1</sup>

- Left ventricular ejection fraction (LVEF) is within normal limits prior to initiating therapy and will be assessed at regular intervals (e.g., every 3 months) during treatment; **AND**
- Member has human epidermal growth factor receptor 2 (HER2)-positive\* disease as determined by an FDA-approved or Clinical Laboratory Improvement Amendments (CLIA)-compliant test❖; **AND**
- Therapy will not be used in combination with pertuzumab or any trastuzumab-based formulation; **AND**
- Therapy will not be substituted for or with pertuzumab or any trastuzumab-based formulation; **AND**

### Breast Cancer † ‡ <sup>1-7</sup>

- Used as neoadjuvant or preoperative therapy; **AND**
  - Member has ≥ T1c disease, node positive disease, or inflammatory disease; **AND**

- Used in combination with chemotherapy; **OR**
- Used as adjuvant therapy; **AND**
  - Member has  $\geq$  T1 disease, node positive disease, or inflammatory disease (unless otherwise specified); **AND**
    - Used in combination with chemotherapy (pT2-3 and pN0 or pN+ only); **OR**
    - Used as single agent therapy; **OR**
  - Used as single agent therapy after completion of planned chemotherapy and following mastectomy or breast-conserving surgery; **AND**
    - Member has  $\geq$  ypT0N0 or pCR disease by axillary staging; **OR**
- Used for recurrent unresectable (local or regional) or metastatic disease OR inflammatory breast cancer with no response to preoperative systemic therapy; **AND**
  - Used as first-line therapy in combination with either paclitaxel or docetaxel; **OR**
  - Used as subsequent therapy with or without cytotoxic therapy; **AND**
    - Member was previously treated with trastuzumab and chemotherapy; **AND**
    - Member has not previously received pertuzumab; **OR**
  - Used as first-line maintenance therapy in combination with aromatase inhibition, with or without palbociclib if treatment was initiated in combination with chemotherapy and the chemotherapy was stopped; **AND**
    - Member has hormone receptor-positive disease; **AND**
      - Member is postmenopausal; **OR**
      - Member is premenopausal and is treated with ovarian ablation/suppression; **OR**
      - Member is a male (sex assigned at birth) **¥**

**¥** When an aromatase inhibitor is used in males, suppression of testicular steroidogenesis with a GnRH analog is required.

**❖** If confirmed using an immunotherapy assay – <http://www.fda.gov/companiondiagnostics>

**†** FDA Approved Indication(s); **‡** Compendia Recommended Indication(s); **Φ** Orphan Drug

**\*HER2-positive overexpression criteria:** <sup>6,8</sup>

- Immunohistochemistry (IHC) assay 3+; **OR**
- Dual-probe in situ hybridization (ISH) assay HER2 (*ERBB2*)/CEP17 ratio  $\geq$  2.0 AND average HER2 (*ERBB2*) copy number  $\geq$  4.0 signals/cell; **OR**
- Dual-probe in situ hybridization (ISH) assay AND concurrent IHC indicating one of the following:
  - HER2 (*ERBB2*)/CEP17 ratio  $\geq$  2.0 AND average HER2 (*ERBB2*) copy number  $<$  4.0 signals/cell AND concurrent IHC 3+; **OR**
  - HER2 (*ERBB2*)/CEP17 ratio  $<$  2.0 AND average HER2 (*ERBB2*) copy number  $\geq$  6.0 signals/cell AND concurrent IHC 2+ or 3+; **OR**
  - HER2 (*ERBB2*)/CEP17 ratio  $<$  2.0 AND average HER2 (*ERBB2*) copy number  $\geq$  4.0 and  $<$  6.0

#### IV. Renewal Criteria <sup>1</sup>

Prior authorization validity may be renewed based upon the following criteria:

- Member continues to meet the universal and other indication-specific relevant criteria such as concomitant therapy requirements (not including prerequisite therapy), performance status, etc. identified in section III; **AND**
- Duration of authorization has not been exceeded (*refer to section I*); **AND**
- Disease response with treatment as defined by stabilization of disease or decrease in size of tumor or tumor spread; **AND**
- Absence of unacceptable toxicity from the drug. Examples of unacceptable toxicity include: cardiotoxicity (e.g., hypertension, arrhythmias, left ventricular dysfunction, cardiac failure, cardiomyopathy), pulmonary toxicity (e.g., angioedema, interstitial pneumonitis, acute respiratory distress syndrome, etc.), neutropenia/febrile neutropenia, severe administration-related reactions (e.g., hypersensitivity reactions, anaphylaxis), etc.; **AND**
- Left ventricular ejection fraction (LVEF) obtained within the previous 3 months as follows:
  - Neoadjuvant and adjuvant treatment of breast cancer: LVEF is  $\geq 50\%$  OR LVEF has had an absolute decrease of  $< 10\%$  from pre-treatment baseline; **OR**
  - All other indications: LVEF is  $> 45\%$  OR LVEF is  $40\%$  to  $45\%$  and absolute decrease is  $< 10\%$  from pre-treatment baseline

#### V. Dosage/Administration <sup>1,3</sup>

| Indication                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Dose                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Breast Cancer                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | <b><u>Initial Dose</u></b><br>Administer 1,200 mg pertuzumab/600 mg trastuzumab/30,000 units hyaluronidase subcutaneously                                                                                                                                                                                                                                                                                                                                                                            |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | <b><u>Maintenance Dose</u></b><br>Administer 600 mg pertuzumab/600 mg trastuzumab/20,000 units hyaluronidase subcutaneously every 3 weeks until disease progression or unmanageable toxicity (unless otherwise specified below) <ul style="list-style-type: none"> <li>• Neoadjuvant/preoperative therapy consists of 3 to 9 cycles prior to surgery</li> <li>• Use for neoadjuvant/preoperative and adjuvant treatment is limited to a total of 1 year of treatment (total of 18 cycles)</li> </ul> |
| <b><u>Note:</u></b> <ul style="list-style-type: none"> <li>– To be administered by a health care professional for subcutaneous use only in the thigh. Do not administer intravenously.</li> <li>– Phesgo has different dosage and administration instructions than intravenous pertuzumab, intravenous trastuzumab, and subcutaneous trastuzumab when administered alone.</li> <li>– Refer to the package insert for timing and sequence of dosing with other chemotherapy.</li> </ul> |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |

## VI. Billing Code/Availability Information

### HCPCS Code:

- J9316 – Injection, pertuzumab, trastuzumab, and hyaluronidase-zzxf, per 10 mg; 1 billable unit = 10 mg

### NDC(s):

- Phesgo (1,200 mg pertuzumab, 600 mg trastuzumab, and 30,000 units hyaluronidase per 15 mL) single-dose vial: 50242-0245-xx
- Phesgo (600 mg pertuzumab, 600 mg trastuzumab, and 20,000 units hyaluronidase per 10 mL) single-dose vial: 50242-0260-xx

## VII. References

1. Phesgo [package insert]. South San Francisco, CA; Genentech, Inc; November 2024. Accessed April 2026.
2. Referenced with permission from the NCCN Drugs & Biologics Compendium (NCCN Compendium®) pertuzumab, trastuzumab and hyaluronidase-zzxf. National Comprehensive Cancer Network, 2026. The NCCN Compendium® is a derivative work of the NCCN Guidelines®. NATIONAL COMPREHENSIVE CANCER NETWORK®, NCCN®, and NCCN GUIDELINES® are trademarks owned by the National Comprehensive Cancer Network, Inc. To view the most recent and complete version of the Compendium, go online to NCCN.org. Accessed April 2026.
3. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®) for Breast Cancer 3.2026. National Comprehensive Cancer Network, 2026. NATIONAL COMPREHENSIVE CANCER NETWORK®, NCCN®, and NCCN GUIDELINES® are trademarks owned by the National Comprehensive Cancer Network, Inc. To view the most recent and complete version of the Guidelines, go online to NCCN.org. Accessed May 2026.
4. Wolff AC, Hammond EH, Allison KH, et al. Human epidermal growth factor receptor 2 testing in breast cancer: American Society of Clinical Oncology/College of American Pathologists Clinical Practice Guideline Focused Update. J Clin Oncol 2018;36:2105-2122.
5. Tan AR, Im SA, Mattar A, et al. Subcutaneous administration of the fixed-dose combination of trastuzumab and pertuzumab in combination with chemotherapy in HER2-positive early breast cancer: Primary analysis of the phase III, multicenter, randomized, open-label, two-arm FeDeriCa study [Abstract]. In: Proceedings of the 2019 San Antonio Breast Cancer Symposium; 2019 Dec 10-14; San Antonio, TX. Philadelphia (PA): AACR; Cancer Res 2020;80(4 Suppl):Abstract nr PD4-07.
6. O'Shaughnessy J, Sousa S, Cruz J, et al. PHrancelSCa study group. Preference for the fixed-dose combination of pertuzumab and trastuzumab for subcutaneous injection in patients with HER2-positive early breast cancer (PHrancelSCa): A randomised, open-label phase II study. Eur J Cancer. 2021 Jul;152:223-232. doi: 10.1016/j.ejca.2021.03.047. Epub 2021 Jun 16. PMID: 34147014.

7. Gennari A, André F, Barrios CH, et al.; ESMO Guidelines Committee. Electronic address: clinicalguidelines@esmo.org. ESMO Clinical Practice Guideline for the diagnosis, staging and treatment of patients with metastatic breast cancer. Ann Oncol. 2021 Dec;32(12):1475-1495. doi: 10.1016/j.annonc.2021.09.019. Epub 2021 Oct 19. PMID: 34678411.
8. Wolff AC, Hammond MEH, Allison KH, et al. Human Epidermal Growth Factor Receptor 2 Testing in Breast Cancer: American Society of Clinical Oncology/College of American Pathologists Clinical Practice Guideline Focused Update. J Clin Oncol. 2018 Jul 10;36(20):2105-2122. doi: 10.1200/JCO.2018.77.8738. Epub 2018 May 30. PMID: 29846122.

## Appendix A – Non-Quantitative Treatment Limitations (NQTL) Factor Checklist

Non-quantitative treatment limitations (NQTLs) refer to the methods, guidelines, standards of evidence, or other conditions that can restrict how long or to what extent benefits are provided under a health plan. These may include things like utilization review or prior authorization. The utilization management NQTL applies comparably, and not more stringently, to mental health/substance use disorder (MH/SUD) Medical Benefit Prescription Drugs and medical/surgical (M/S) Medical Benefit Prescription Drugs. The table below lists the factors that were considered in designing and applying prior authorization to this drug/drug group, and a summary of the conclusions that Prime's assessment led to for each.

| Factor                     | Conclusion            |
|----------------------------|-----------------------|
| Indication                 | Yes: Consider for PA  |
| Safety and efficacy        | Yes: Consider for PA  |
| Potential for misuse/abuse | No: PA not a priority |
| Cost of drug               | Yes: Consider for PA  |

## Appendix 1 – Covered Diagnosis Codes

| ICD-10  | ICD-10 Description                                                 |
|---------|--------------------------------------------------------------------|
| C50.011 | Malignant neoplasm of nipple and areola, right female breast       |
| C50.012 | Malignant neoplasm of nipple and areola, left female breast        |
| C50.019 | Malignant neoplasm of nipple and areola, unspecified female breast |
| C50.021 | Malignant neoplasm of nipple and areola, right female breast       |
| C50.022 | Malignant neoplasm of nipple and areola, left female breast        |
| C50.029 | Malignant neoplasm of nipple and areola, unspecified female breast |
| C50.111 | Malignant neoplasm of central portion of right female breast       |
| C50.112 | Malignant neoplasm of central portion of left female breast        |
| C50.119 | Malignant neoplasm of central portion of unspecified female breast |
| C50.121 | Malignant neoplasm of central portion of right male breast         |
| C50.122 | Malignant neoplasm of central portion of left male breast          |
| C50.129 | Malignant neoplasm of central portion of unspecified male breast   |
| C50.211 | Malignant neoplasm of upper-inner quadrant of right female breast  |

| ICD-10  | ICD-10 Description                                                      |
|---------|-------------------------------------------------------------------------|
| C50.212 | Malignant neoplasm of upper-inner quadrant of left female breast        |
| C50.219 | Malignant neoplasm of upper-inner quadrant of unspecified female breast |
| C50.221 | Malignant neoplasm of upper-inner quadrant of right male breast         |
| C50.222 | Malignant neoplasm of upper-inner quadrant of left male breast          |
| C50.229 | Malignant neoplasm of upper-inner quadrant of unspecified male breast   |
| C50.311 | Malignant neoplasm of lower-inner quadrant of right female breast       |
| C50.312 | Malignant neoplasm of lower-inner quadrant of left female breast        |
| C50.319 | Malignant neoplasm of lower-inner quadrant of unspecified female breast |
| C50.321 | Malignant neoplasm of lower-inner quadrant of right male breast         |
| C50.322 | Malignant neoplasm of lower-inner quadrant of left male breast          |
| C50.329 | Malignant neoplasm of lower-inner quadrant of unspecified male breast   |
| C50.411 | Malignant neoplasm of upper-outer quadrant of right female breast       |
| C50.412 | Malignant neoplasm of upper-outer quadrant of left female breast        |
| C50.419 | Malignant neoplasm of upper-outer quadrant of unspecified female breast |
| C50.421 | Malignant neoplasm of upper-outer quadrant of right male breast         |
| C50.422 | Malignant neoplasm of upper-outer quadrant of left male breast          |
| C50.429 | Malignant neoplasm of upper-outer quadrant of unspecified male breast   |
| C50.511 | Malignant neoplasm of lower-outer quadrant of right female breast       |
| C50.512 | Malignant neoplasm of lower-outer quadrant of left female breast        |
| C50.519 | Malignant neoplasm of lower-outer quadrant of unspecified female breast |
| C50.521 | Malignant neoplasm of lower-outer quadrant of right male breast         |
| C50.522 | Malignant neoplasm of lower-outer quadrant of left male breast          |
| C50.529 | Malignant neoplasm of lower-outer quadrant of unspecified male breast   |
| C50.611 | Malignant neoplasm of axillary tail of right female breast              |
| C50.612 | Malignant neoplasm of axillary tail of left female breast               |
| C50.619 | Malignant neoplasm of axillary tail of unspecified female breast        |
| C50.621 | Malignant neoplasm of axillary tail of right male breast                |
| C50.622 | Malignant neoplasm of axillary tail of left male breast                 |
| C50.629 | Malignant neoplasm of axillary tail of unspecified male breast          |
| C50.811 | Malignant neoplasm of overlapping sites of right female breast          |
| C50.812 | Malignant neoplasm of overlapping sites of left female breast           |
| C50.819 | Malignant neoplasm of overlapping sites of unspecified female breast    |
| C50.821 | Malignant neoplasm of overlapping sites of right male breast            |
| C50.822 | Malignant neoplasm of overlapping sites of left male breast             |
| C50.829 | Malignant neoplasm of overlapping sites of unspecified male breast      |

| ICD-10  | ICD-10 Description                                                  |
|---------|---------------------------------------------------------------------|
|         |                                                                     |
| C50.911 | Malignant neoplasm of unspecified site of right female breast       |
| C50.912 | Malignant neoplasm of unspecified site of left female breast        |
| C50.919 | Malignant neoplasm of unspecified site of unspecified female breast |
| C50.921 | Malignant neoplasm of unspecified site of right male breast         |
| C50.922 | Malignant neoplasm of unspecified site of left male breast          |
| C50.929 | Malignant neoplasm of unspecified site of unspecified male breast   |
| C50.A0  | Malignant inflammatory neoplasm of unspecified breast               |
| C50.A1  | Malignant inflammatory neoplasm of right breast                     |
| C50.A2  | Malignant inflammatory neoplasm of left breast                      |
| Z85.3   | Personal history of malignant neoplasm of breast                    |

## Appendix 2 – Centers for Medicare and Medicaid Services (CMS)

The preceding information is intended for non-Medicare coverage determinations. Medicare coverage for outpatient (Part B) drugs is outlined in the Medicare Benefit Policy Manual (Pub. 100-2), Chapter 15, §50 Drugs and Biologicals. In addition, National Coverage Determinations (NCDs) and/or Local Coverage Determinations (LCDs) may exist and compliance with these policies is required where applicable. Local Coverage Articles (LCAs) may also exist for claims payment purposes or to clarify benefit eligibility under Part B for drugs which may be self-administered. The following link may be used to search for NCD, LCD, or LCA documents:

<https://www.cms.gov/medicare-coverage-database/search.aspx>. Additional indications, including any preceding information, may be applied at the discretion of the health plan.

Medicare Part B Covered Diagnosis Codes (applicable to existing NCD/LCD/LCA): N/A

| Medicare Part B Administrative Contractor (MAC) Jurisdictions |                                        |                                                   |
|---------------------------------------------------------------|----------------------------------------|---------------------------------------------------|
| Jurisdiction                                                  | Applicable State/US Territory          | Contractor                                        |
| E (1)                                                         | CA, HI, NV, AS, GU, CNMI               | Noridian Healthcare Solutions, LLC                |
| F (2 & 3)                                                     | AK, WA, OR, ID, ND, SD, MT, WY, UT, AZ | Noridian Healthcare Solutions, LLC                |
| 5                                                             | KS, NE, IA, MO                         | Wisconsin Physicians Service Insurance Corp (WPS) |
| 6                                                             | MN, WI, IL                             | National Government Services, Inc. (NGS)          |
| H (4 & 7)                                                     | LA, AR, MS, TX, OK, CO, NM             | Novitas Solutions, Inc.                           |
| 8                                                             | MI, IN                                 | Wisconsin Physicians Service Insurance Corp (WPS) |
| N (9)                                                         | FL, PR, VI                             | First Coast Service Options, Inc.                 |
| J (10)                                                        | TN, GA, AL                             | Palmetto GBA                                      |

| Medicare Part B Administrative Contractor (MAC) Jurisdictions |                                                                                             |                                          |
|---------------------------------------------------------------|---------------------------------------------------------------------------------------------|------------------------------------------|
| Jurisdiction                                                  | Applicable State/US Territory                                                               | Contractor                               |
| M (11)                                                        | NC, SC, WV, VA (excluding below)                                                            | Palmetto GBA                             |
| L (12)                                                        | DE, MD, PA, NJ, DC (includes Arlington & Fairfax counties and the city of Alexandria in VA) | Novitas Solutions, Inc.                  |
| K (13 & 14)                                                   | NY, CT, MA, RI, VT, ME, NH                                                                  | National Government Services, Inc. (NGS) |
| 15                                                            | KY, OH                                                                                      | CGS Administrators, LLC                  |